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Evaluation of MODIS and VIIRS Aerosol Optical Depth Retrievals: An update

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Motivation

- In the NPP/NPOESS era the MODIS aerosol retrievals will be replaced by those from the Visible Infrared Imaging Radiometer Suite (VIIRS).
- Current MODIS and VIIRS aerosol algorithms are sufficiently different to potentially produce different AOD.
- The differences might effect the continuity of MODIS aerosol record, and they might effect the radiation budget derived from satellite measurements. Both are important climate parameters.
- Evaluation of VIIRS aerosol algorithm under a wide variety of real conditions over a long period are needed.



Recapping Previous Results

Two STMs ago ...

- Have set up a system for routine retrieval and comparison of MODIS and VIIRS AOD.
- From the two days studied:
 - Water: bias = 0.007 (5% MODIS) (=2/10 of expected MODIS error (0.035) for typical (0.1) AOD) (but RMS = 40%!)
 - Land: bias = 0.009 (6% MODIS) (=1/10 of expected MODIS error (0.08) for typical (0.2) AOD)
- Aerosol model and FMW retrievals also differ.
 - Factors affecting the calculation of residual can affect the AOD by selecting very different models.
- AOD retrieval is most sensitive to the choice of reference channel and surface reflectance calculation/retrieval. **==> NGST has changed reference channel in over-ocean retrieval!**

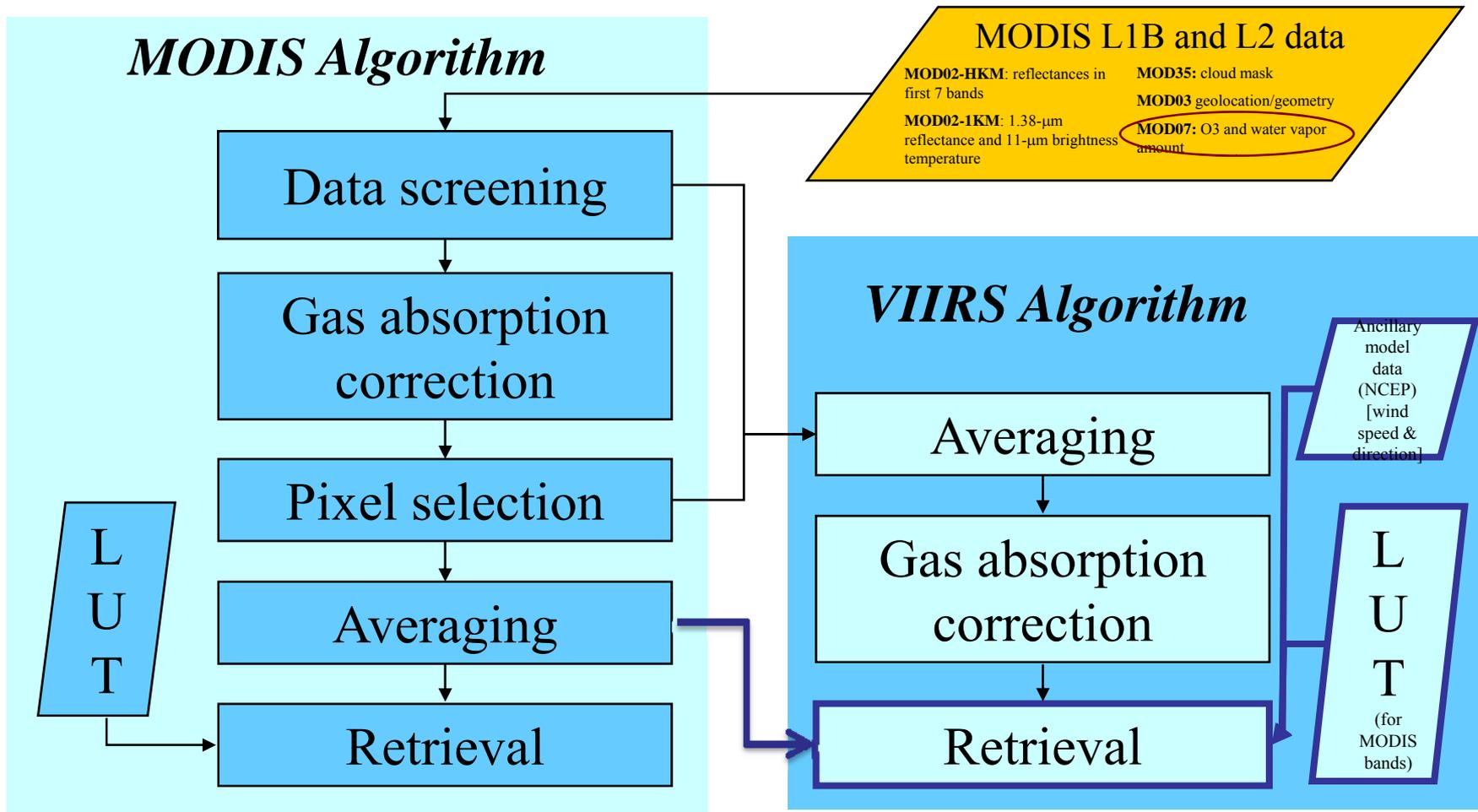


Strategy

- Use one year (2007) global MODIS Terra retrievals
- Use clear-sky TOA reflectance from C5 MOD04
 - Cloud-screened, gas-absorption-corrected, spatially-filtered/averaged MODIS reflectance
 - Use above reflectances directly in VIIRS algorithm (bypassing gas absorption correction) to avoid sampling difference.
 - **Only algorithm differences affect the AOD!**
- Compare VIIRS and MODIS retrievals
- **NEW:** Compare VIIRS and MODIS retrievals with AERONET measurements (Level 1.5, spatial (50km) and temporal (1hr) match-up)



The MODIS-VIIRS Test Bed





Features of MODIS and VIIRS AOD ... (cont)

Over water

| | MODIS C5 | VIIRS |
|--------------------------|---|--|
| <i>Surface pressure</i> | Constant – sea level. | Rayleigh optical functions are corrected to actual surface pressure. |
| <i>Aerosol models</i> | 4 fine modes 5 coarse modes | Same as MODIS collection 4 |
| <i>Channels used</i> | 0.55, 0.66, 0.86, 1.24, 1.64 and 2.13 μ m | 0.672, 0.746, 0.865, 1.240, 1.610, 2.250 μ m |
| <i>Reference channel</i> | 0.86 μ m | <u>1.24μm originally; was changed to 0.86μm</u> |



Features of MODIS and VIIRS AOD ... (cont)

| Over water | | |
|---|--|---|
| | MODIS C5 | VIIRS |
| <i>Residual calculation</i> | $\sqrt{\sum_{\lambda=1}^6 N_{\lambda} \left(\frac{\rho_{\lambda}^m - \rho_{\lambda}^{LUT}}{\rho_{\lambda}^m - \rho_{\lambda}^{ray} + 0.01} \right)^2} / \sum_{\lambda=1}^6 N_{\lambda}$ | $\sqrt{\sum_{\lambda=1}^6 (\rho_{\lambda}^m - \rho_{\lambda}^{LUT})^2}$ |
| <i>Searching for the FMW</i> | Interval halving | Brute force. (discrete 101 fractions with interval of 0.01) |
| <i>Surface reflectance contribution</i> | Constant wind speed (6 m/s) Built into LUT | Explicit calculation of the direct and diffuse water reflection given ancillary wind speed and direct. TOA reflectance is calculated by combining atmospheric and surface contributions. |
| <i>RTM for LUT</i> | Ahmad and Fraser (1981) | 6sV1.1 |



Features of MODIS and VIIRS AOD ... (cont)

| Over land | | |
|-------------------------|--|---|
| | MODIS C5 | VIIRS |
| <i>Surface pressure</i> | Actual pressure is from surface elevation. | Elevation and synoptic variation of surface pressure are considered. |
| | LUT is adjusted to simulate different molecular optical depth by adjusting the wavelength. | Molecular contribution is adjusted in calculation of TOA reflectance. |
| <i>Channels used</i> | 0.47, 0.66 and 2.12 μm | 0.488 and 0.672 μm |
| <i>Reference ch</i> | 0.47 μm | 0.488 μm |
| <i>Aerosol models</i> | 3 nondust and one dust model (updated using AERONET). Mixture is determined separately for each retrieval. | Five typical land aerosol models from AERONET. |



Features of MODIS and VIIRS AOD ... (cont)

| Over land | | |
|----------------------------|---|---|
| | MODIS C5 | VIIRS |
| <i>Surface reflectance</i> | Surface reflectance at SWIR is determined in conjunction with AOD and FMW retrieval. Updated linear relationship between VIS and SWIR for dark surface. | Separate linear relationships between VIS and SWIR for vegetation and soil dominated surface. |
| <i>Retrieval</i> | Simultaneous retrieval of surface reflectance, AOD and FMW. | 1) surface reflectance in each band; 2) AOD and residual for candidate models. 3) AOD and model from smallest residual. |
| <i>RTM for LUT</i> | RT3 (Evans and Stephens) | 6sV1.1 |

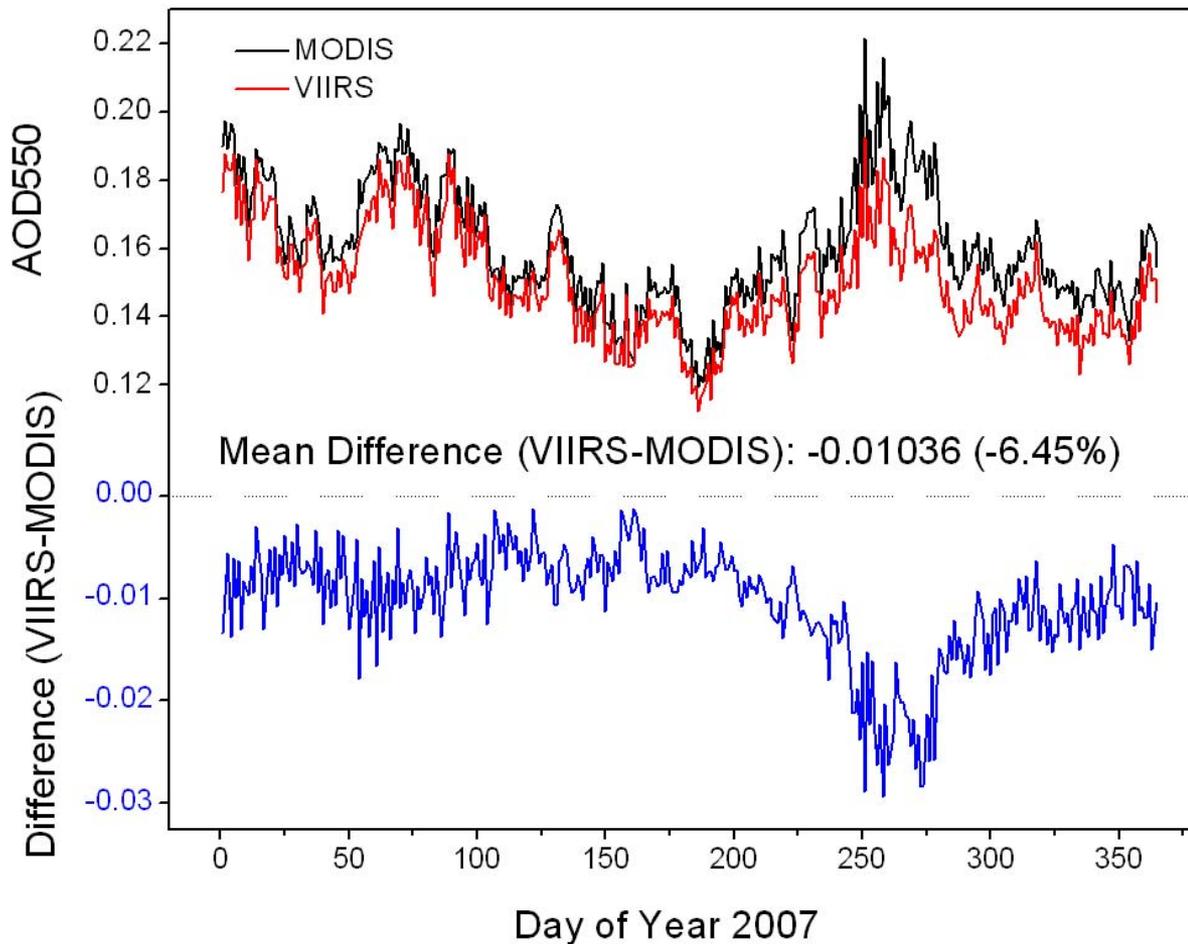


RESULTS

- Comparison of VIIRS AOD with MODIS AOD
 - global, hemispheric and regional averages
- Comparison with AERONET



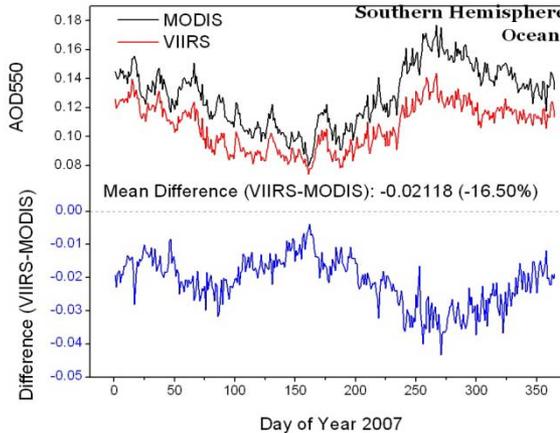
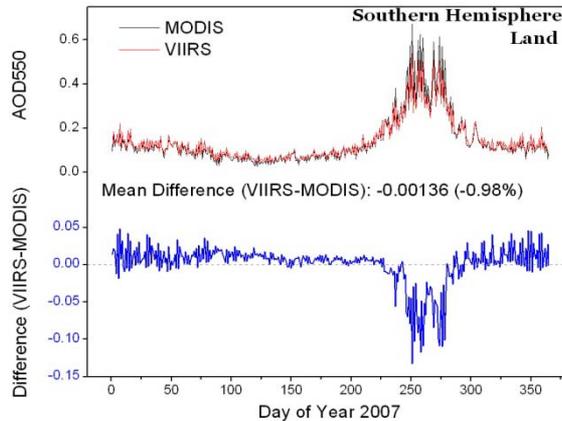
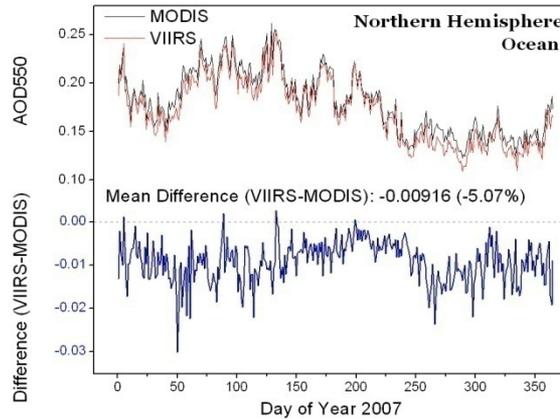
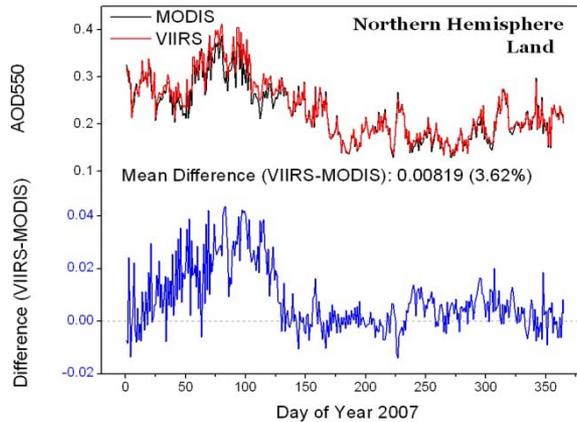
Time series of Global AOD



- Global AOD (550 nm) is underestimated by VIIRS relative to MODIS year round.
- More significant in September.



Hemispheric Averages



VIIRS AOD relative to MODIS AOD

Over Land:

- Overestimation in NH (spring)
- Underestimation in SH (Sep)

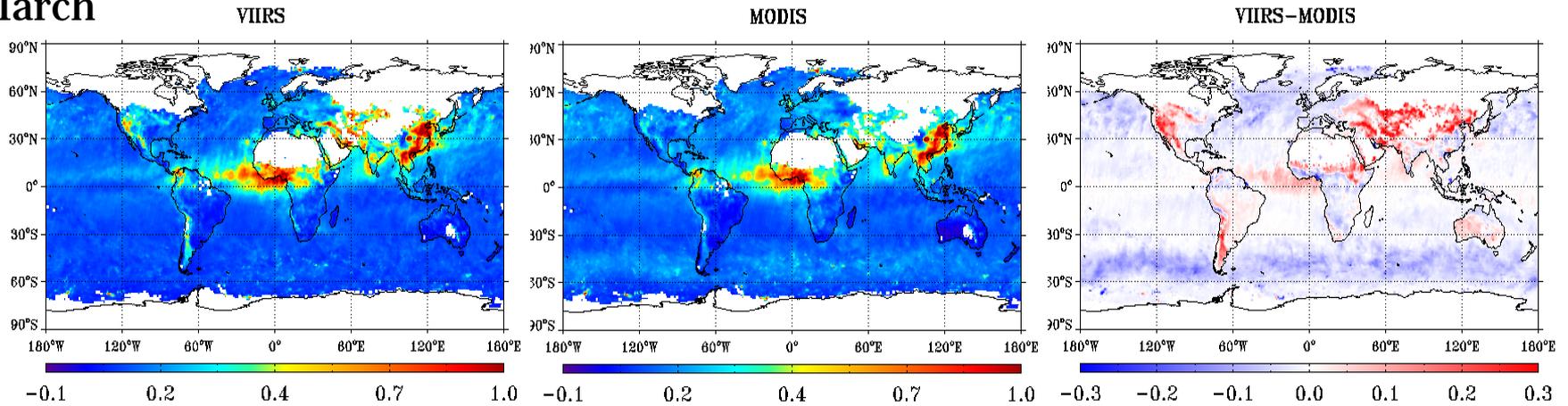
Over Ocean:

- Underestimation in both hemispheres
- More negative bias in SH

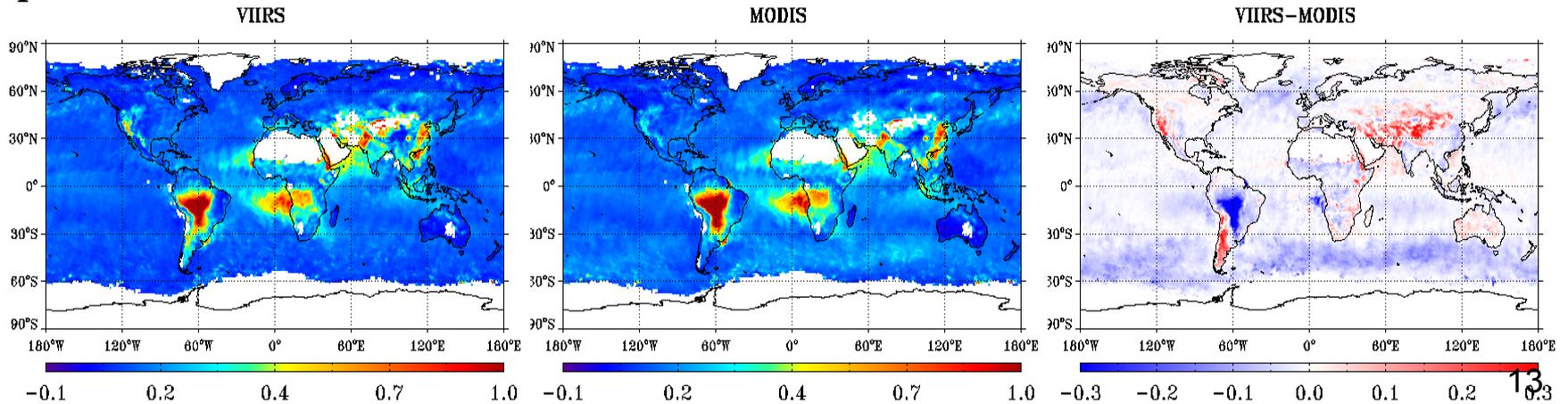


Regional Monthly Averages

March



September





Regional Monthly Averages (cont.)

Land:

- Overestimation over bright surface
- Underestimation of biomass burning aerosol over South America

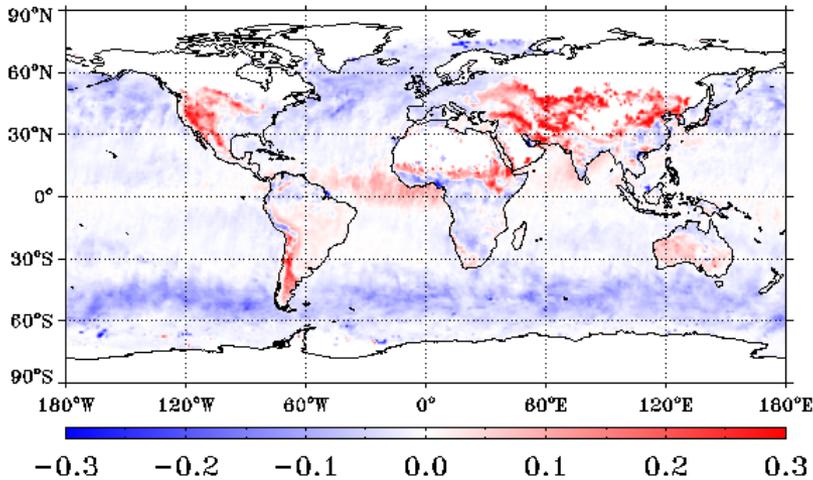
Ocean:

- Underestimation over mid- and high-latitudes
- Overestimation at dust dominated regions
- Underestimation of smoke AOD around South Africa

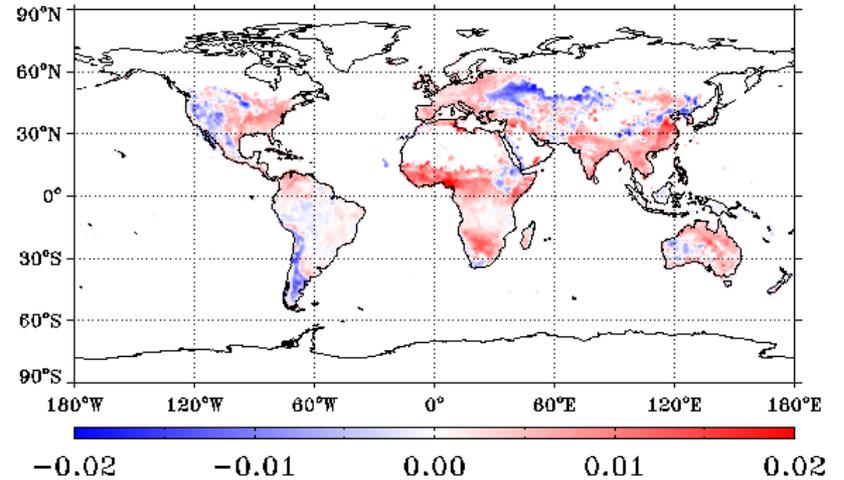


Monthly Averaged AOD – March 2007

Difference of retrieved AOD at $0.55\mu\text{m}$
VIIRS – MODIS

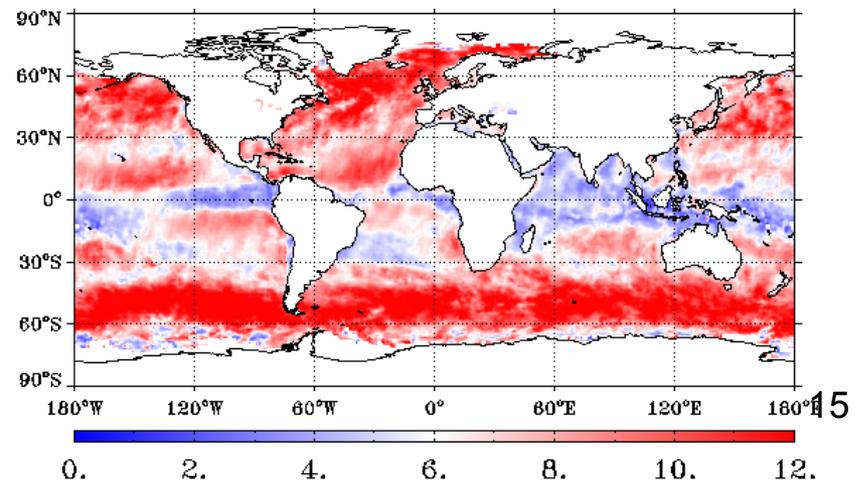


Difference of land surface reflectance at $0.466\mu\text{m}$
VIIRS – MODIS



- Over land, VIIRS-MODIS AOD and surface reflectance differences are correlated. ($R = -0.49$)
- Over ocean, underestimation of AOD by VIIRS is correlated with high wind speed. ($R = -0.63$)

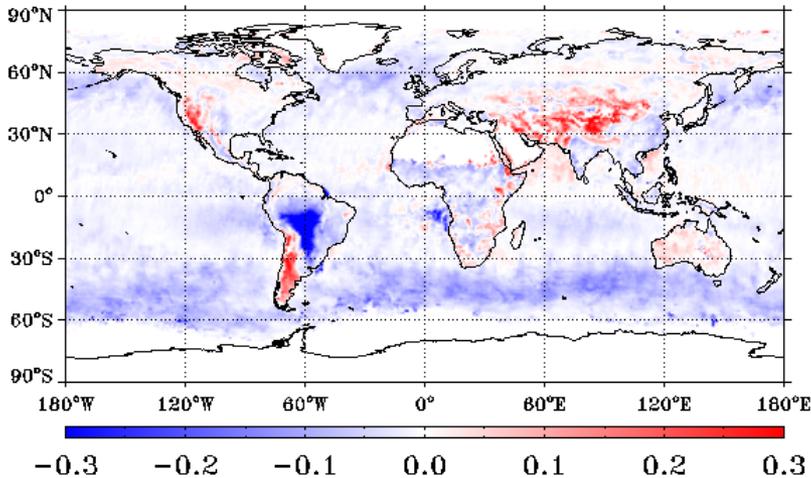
NCEP Reanalysis wind speed at 10m altitude (m/s)
Wind Speed



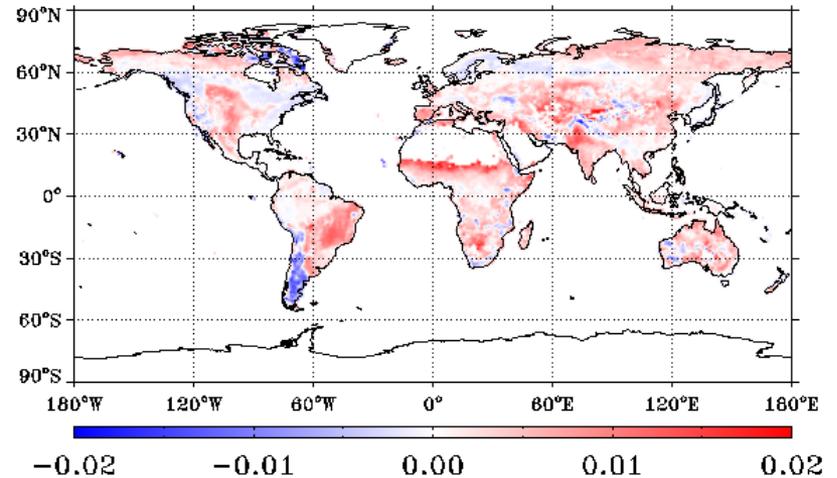


Monthly Averaged AOD – September 2007

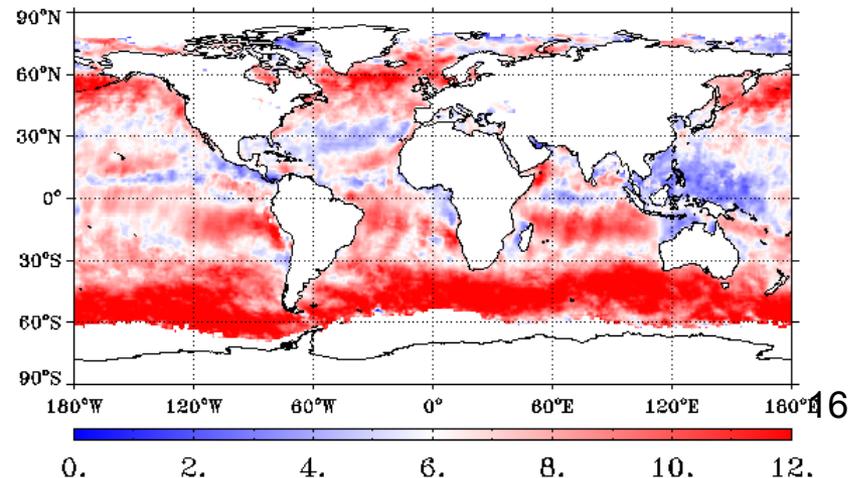
Difference of retrieved AOT at 0.55 μ m
VIIRS–MODIS



Difference of land surface reflectance at 0.466 μ m
VIIRS–MODIS



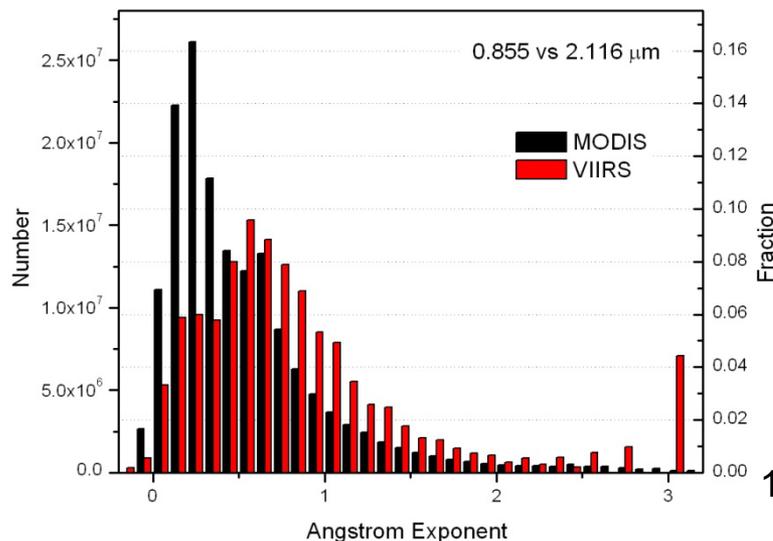
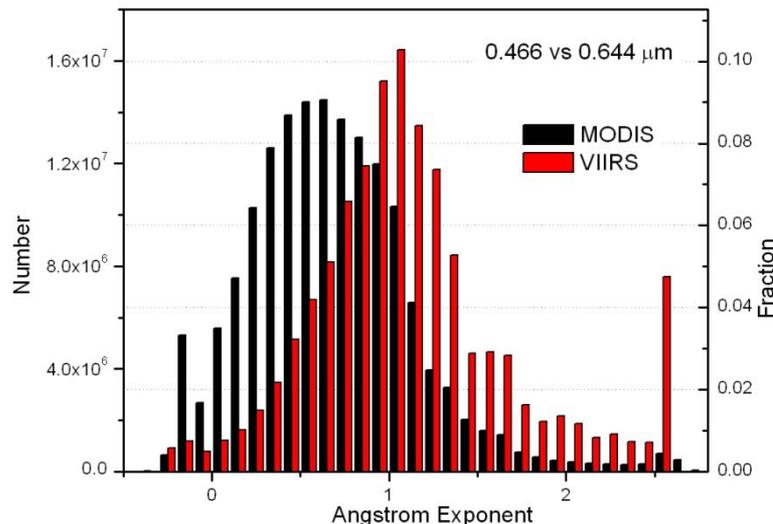
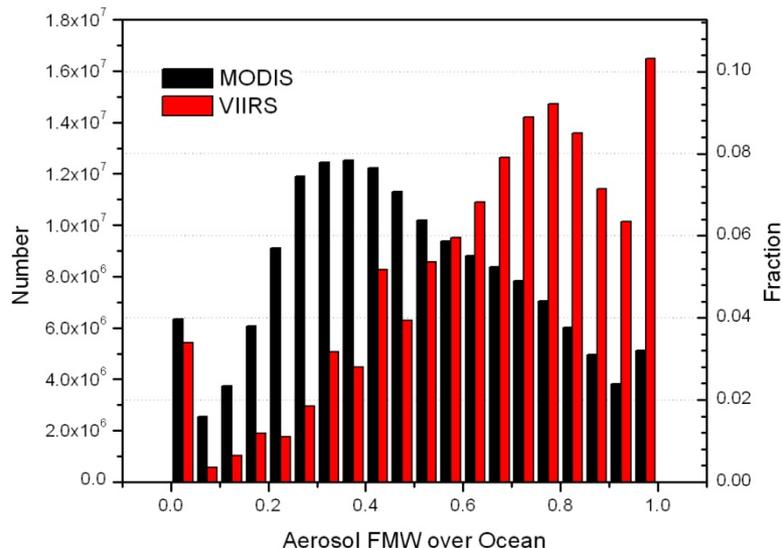
NCEP Reanalysis wind speed at 10m altitude (m/s)
Wind Speed



- In addition to surface effects, difference in aerosol model also contributes to the difference of AOD between VIIRS and MODIS:
 - South America
 - West coast of South Africa



Aerosol Fine Mode Weight and Ångström Exponent over Ocean



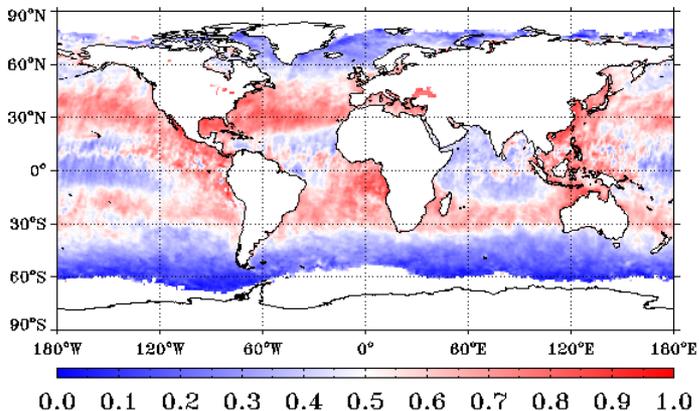
- VIIRS retrieval suggests more fine mode particles than MODIS, therefore, higher Ångström Exponent.



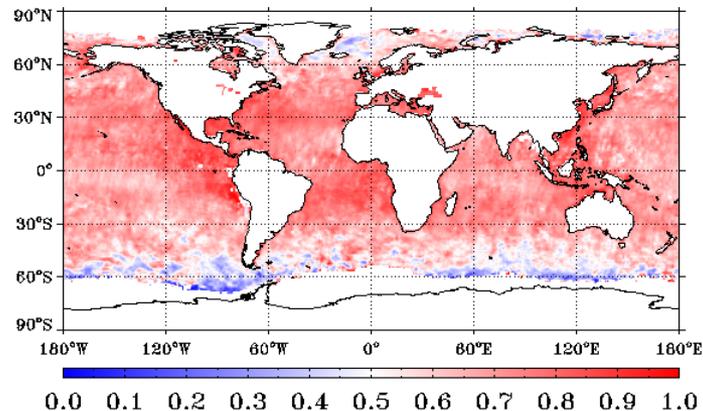
Sensitivity Tests: FMW over Ocean

September

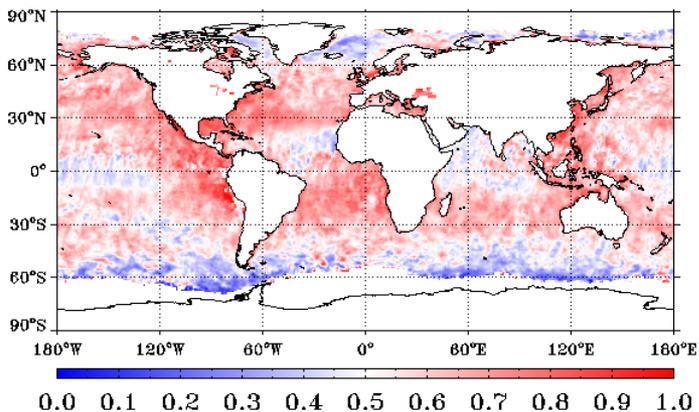
MODIS



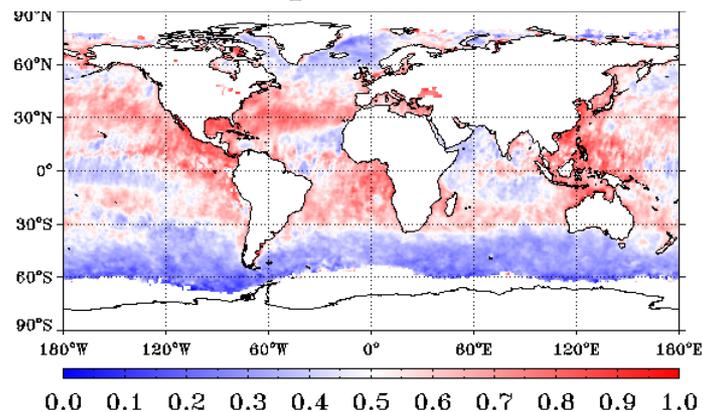
VIIRS



VIIRS with MODIS C5 Aerosol Models



VIIRS with MODIS C5 Aerosol Models
& Wind speed fixed at 6m/s

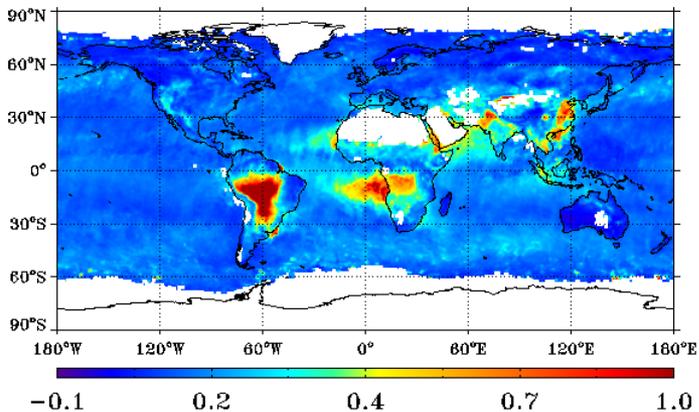




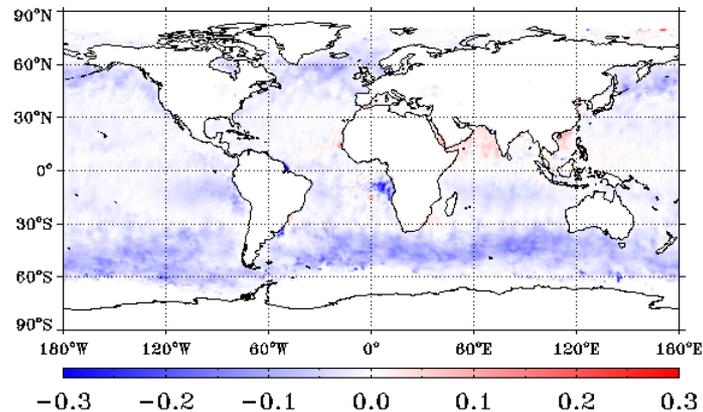
Sensitivity Tests: Effects on AOD

September

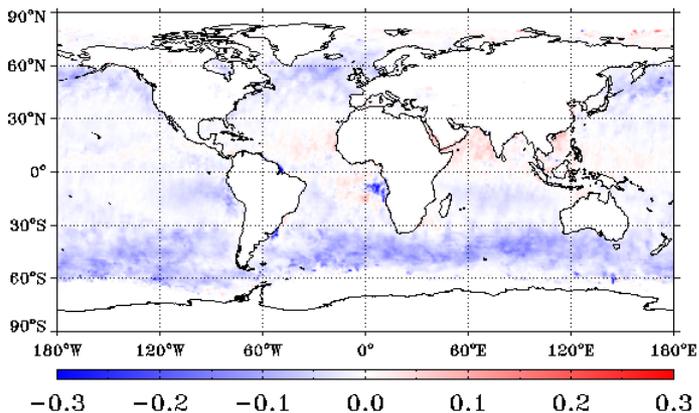
MODIS



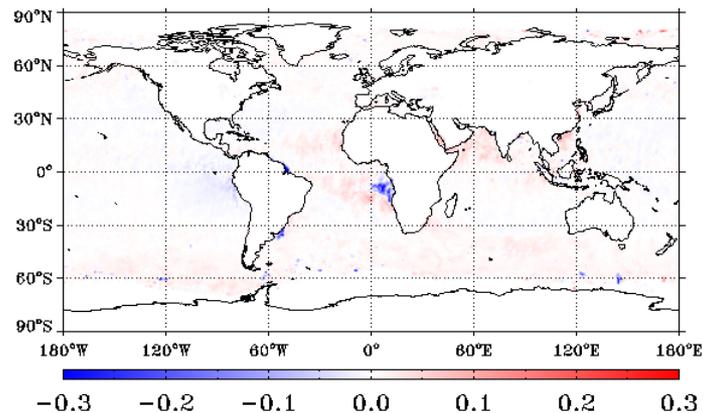
VIIRS-MODIS



VIIRS with MODIS C5 Aerosol Models

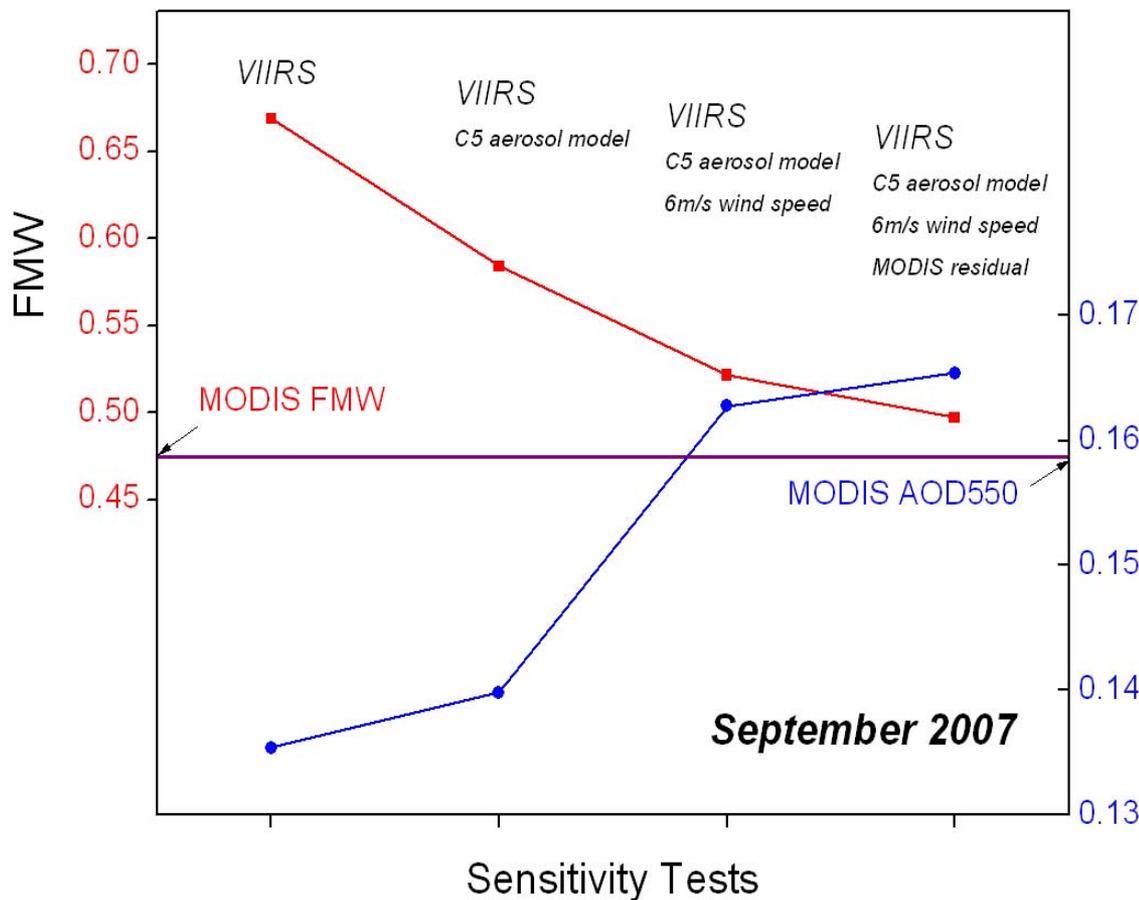


VIIRS with MODIS C5 Aerosol Models
& Wind speed fixed at 6m/s





Summary of Sensitivity Tests Over Ocean



- Global average AOD and FMW for Sep 2007.
- Switching to MODIS C5 aerosol models and fixed wind speed in VIIRS reduces AOD and FMW differences
- Changing aerosol models reduces FMW by -0.085 (12.65%), while increases AOD by 0.004 (3.28%)

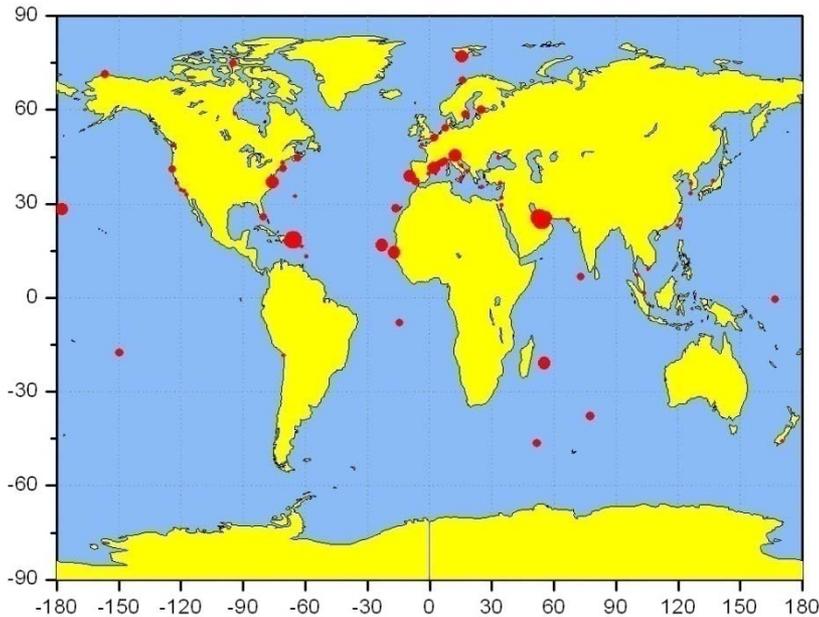


COMPARISON WITH AERONET

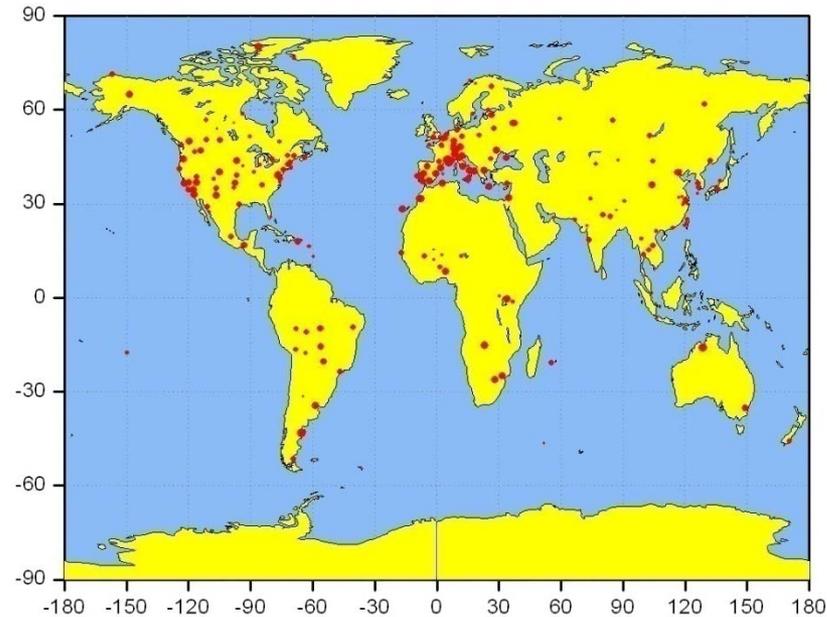


AERONET sites

Ocean (77)



Land (226)



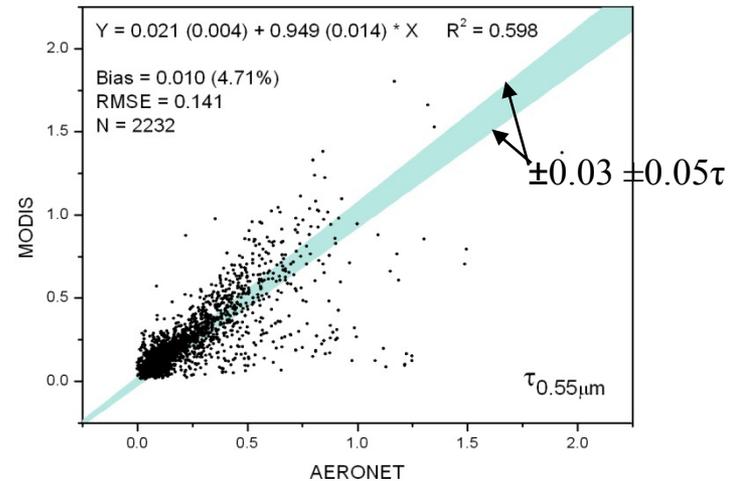
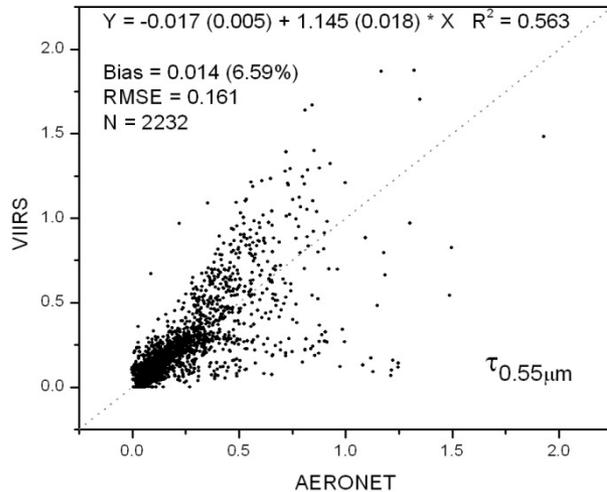
Number of Validations · <20 · 20-50 · 50-100 · >100 Number of Validations · <20 · 20-50 · 50-100 · 100-200 · >200

Symbol size is proportional to number of comparisons.

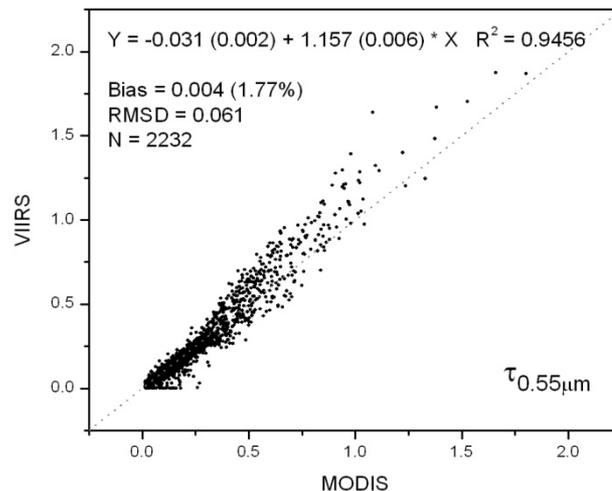
- Uneven distribution of stations.
- Many ocean stations are in coastal areas.



Comparison with AERONET over Ocean

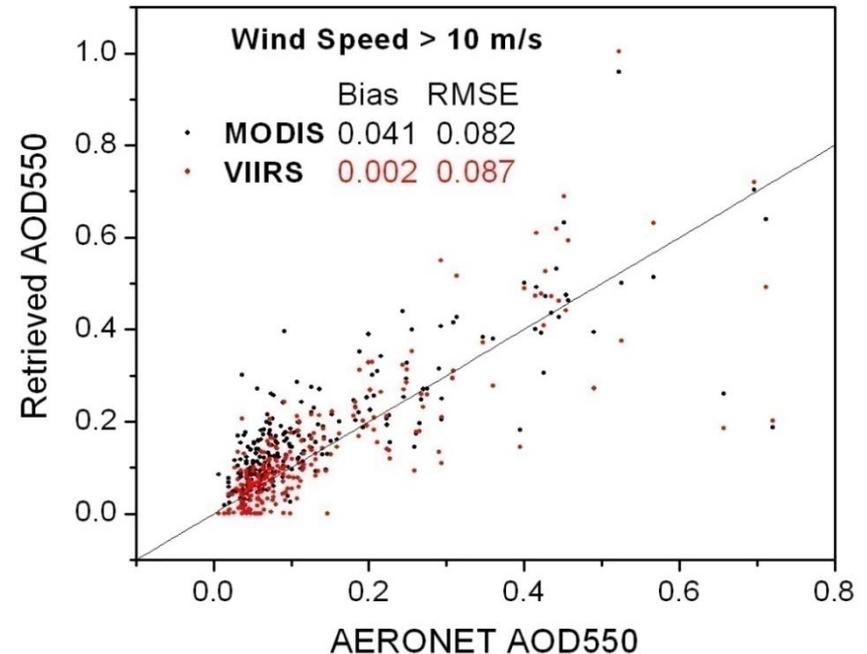
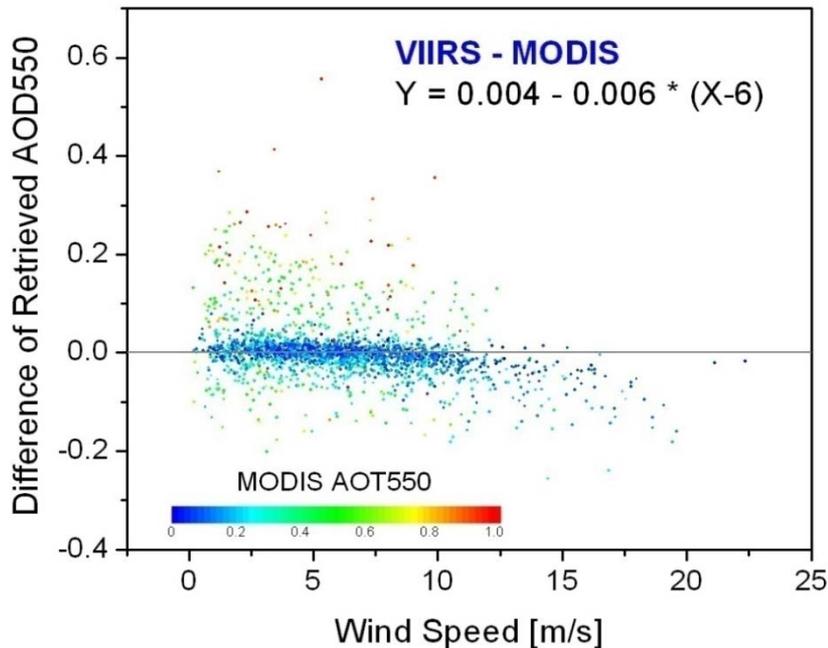


- Orthogonal fit; standard errors are in parenthesis.
- Compared with AERONET, VIIRS has a slightly larger positive bias and RMSE than MODIS.
- Satellite estimates agree better with each other than with ground measurements.





Wind Speed Effect

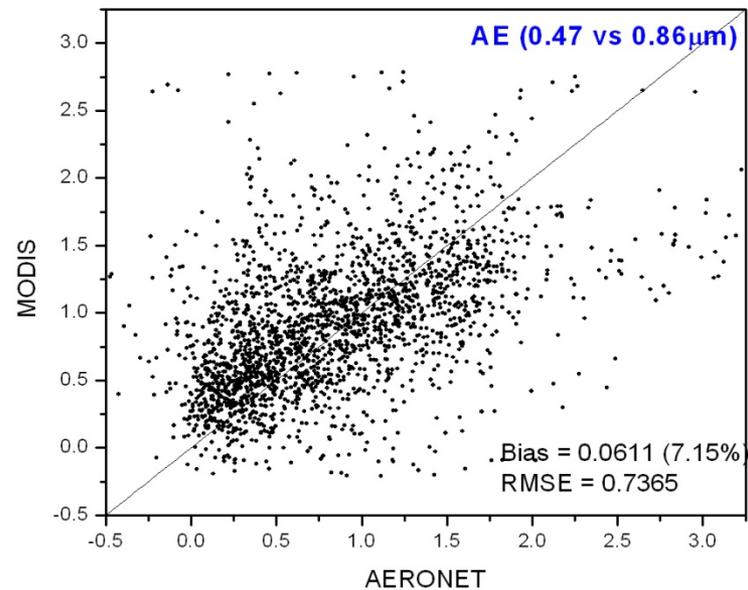
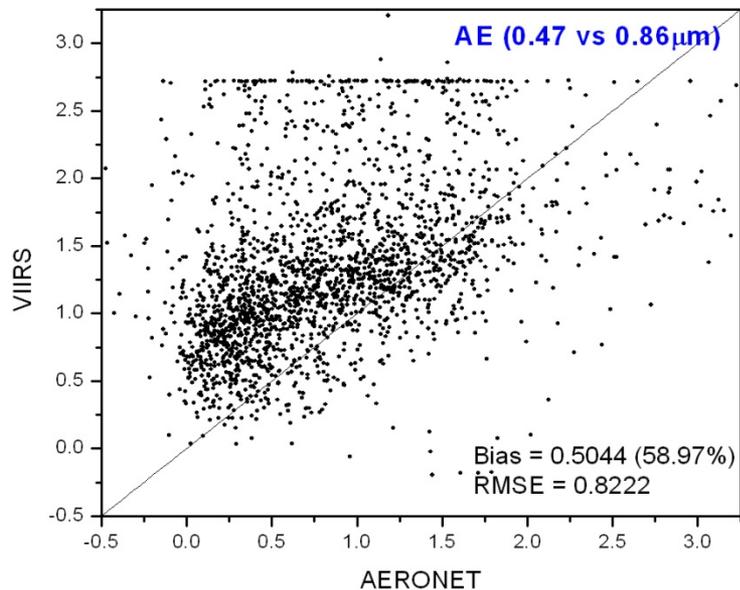


- $AOD_{VIIRS} < AOD_{MODIS}$ for high (>10 m/s) wind speed.
- $AOD_{MODIS} > AOD_{AERONET}$ for high wind speed (Zhang & Reid, 2006)

- For high (>10 m/s) wind speed, VIIRS-AERONET bias \ll MODIS-AERONET bias, but RMSEs are about the same.



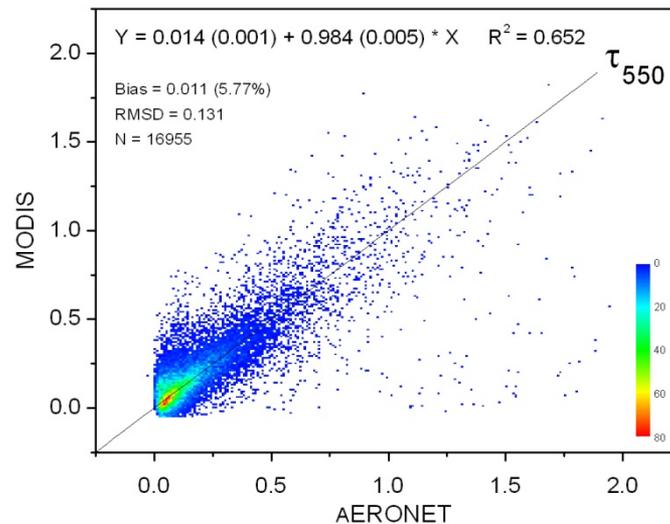
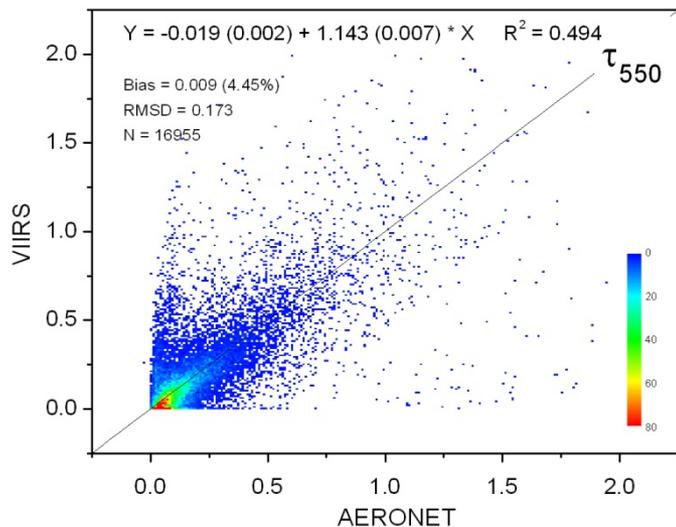
Ångström Exponent



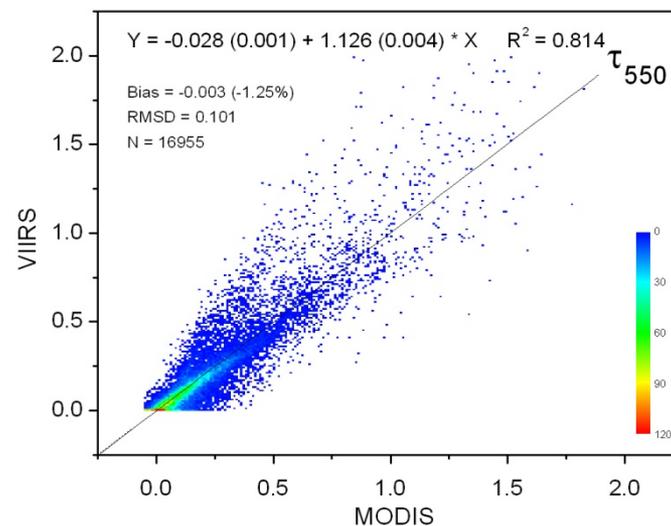
- Ångström Exponent (AE) calculated from AOD at 0.47 and 0.86 μm .
- Compared with AERONET, VIIRS AE bias is much higher than MODIS due to higher FMW retrieved by the VIIRS algorithm.



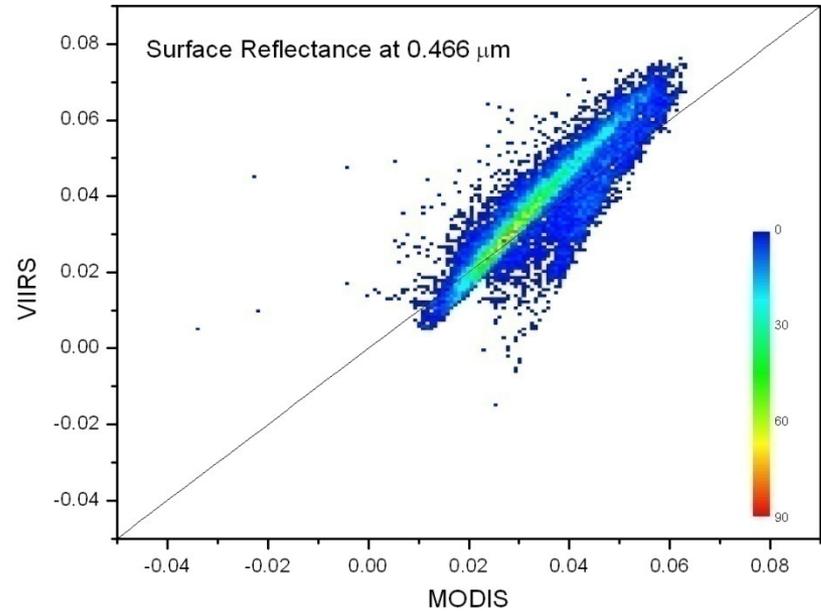
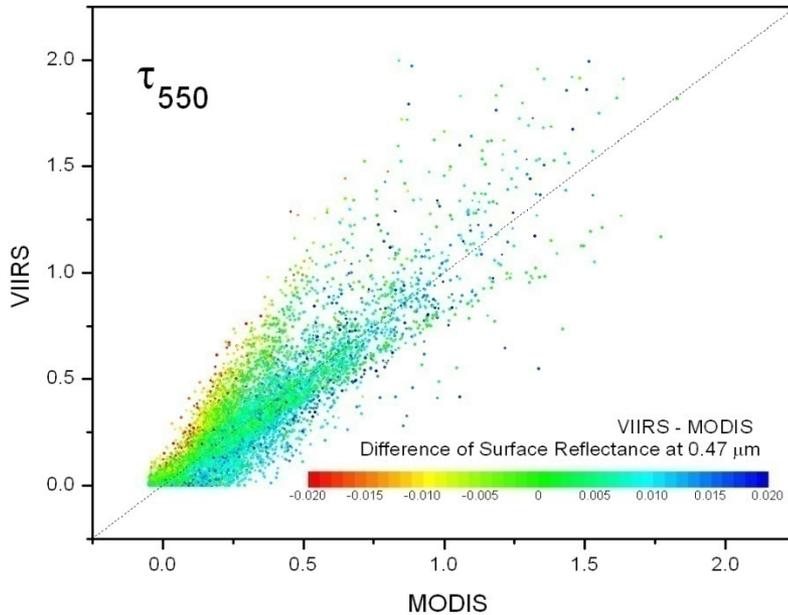
Comparison with AERONET over Land



- Compared with AERONET, VIIRS and MODIS biases are comparable.
- RMSD of VIIRS AOD is larger than that from MODIS.



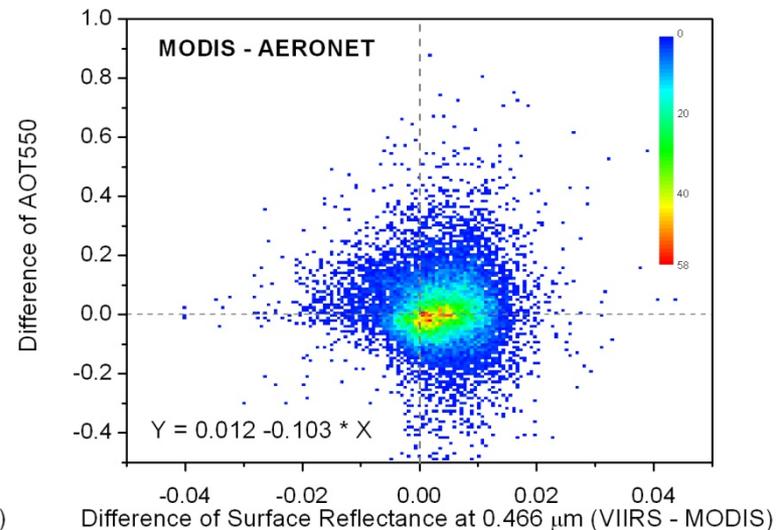
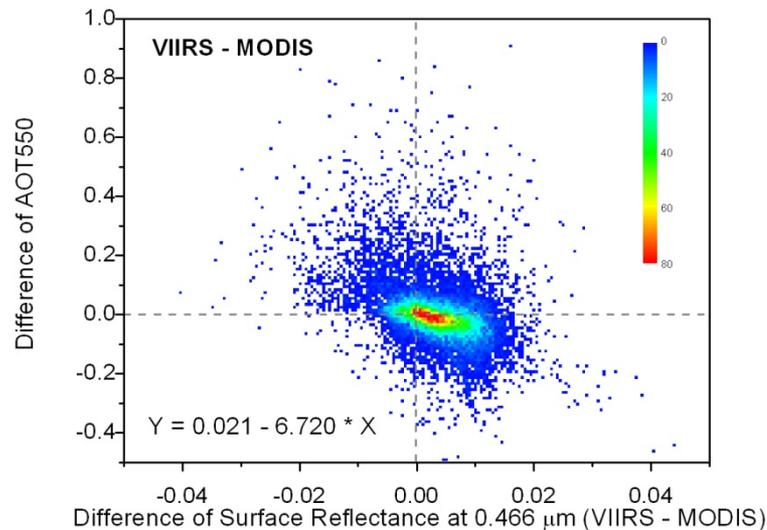
Surface Effects



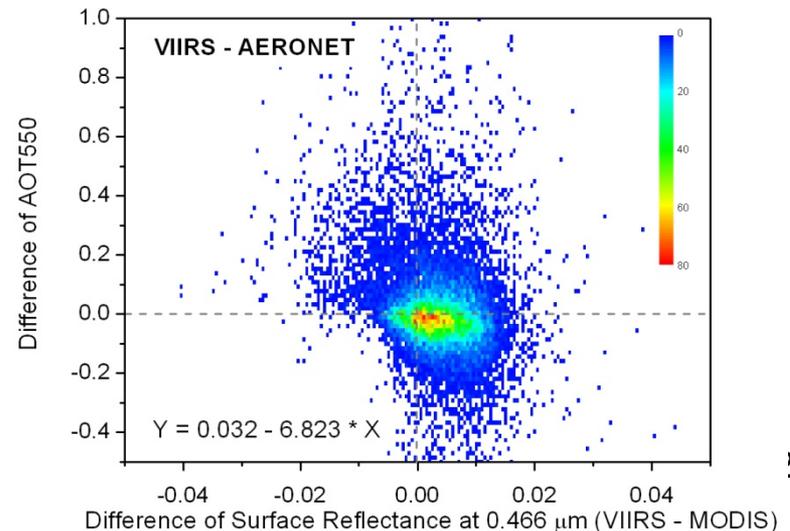
- Difference in surface reflectance estimation contributes to the difference of retrieved AOD.
- VIIRS applies two distinct relationships for 'vegetation' and 'soil' dominated surfaces



Surface Effects (cont.)

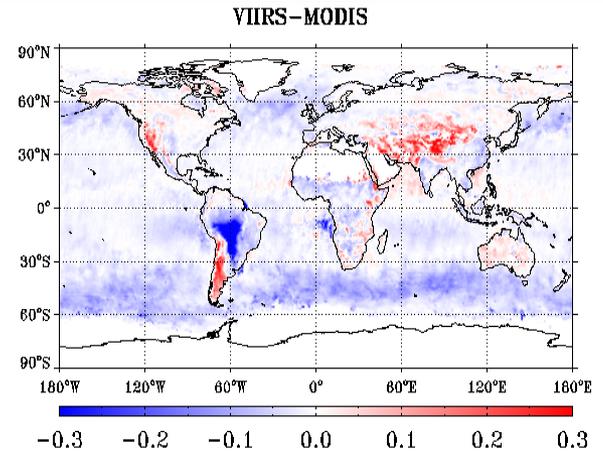
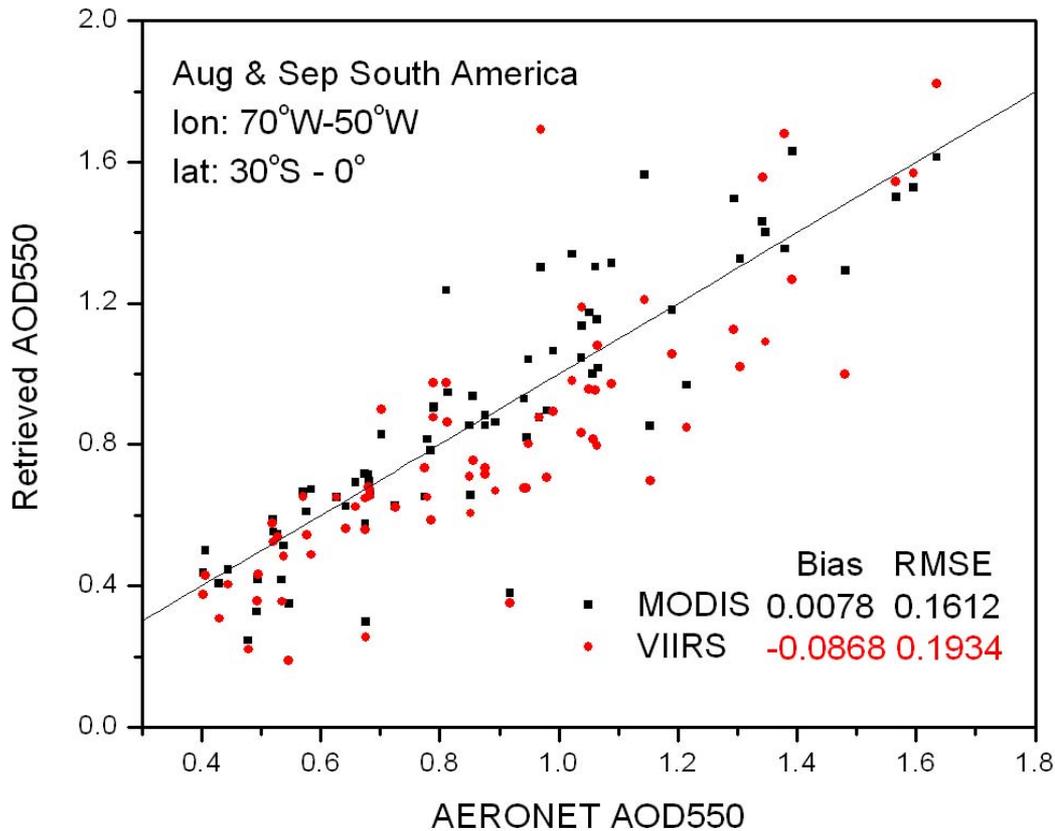


- Large difference of AOD even for the same surface reflectance.
- New surface scheme in VIIRS retrieval did not improve AOD retrieval.





Case study: Biomass Burning



- $AOD_{VIIRS} < AOD_{MODIS}$ in South America in Sep.
- AOD is dominated by biomass burning in August and September.
- Compared with AERONET, VIIRS does underestimate smoke AOD.



Summary

Over Ocean

- Compared to MODIS:
 - VIIRS has a negative AOD bias (-0.016 ~ 11%), largely due to high wind speed over mid- and high- latitude regions.
 - VIIRS overestimates dust AOD.
 - VIIRS underestimates smoke AOD coming from South Africa.
 - VIIRS retrieves higher FMW (larger AE).
- Compared with AERONET:
 - VIIRS has larger positive AOD and AE bias and RMSE than those from MODIS.

Over land

- Difference in estimated surface reflectance contributes to difference of retrieved VIIRS and MODIS AODs.
- Compared to MODIS:
 - VIIRS retrieves higher AOD over relatively bright surfaces and underestimates smoke AOD over South America.
 - VIIRS AOD is more variable (Std is 1.5 times that of MODIS).
- Compared with AERONET:
 - VIIRS AOD has larger RMSE than MODIS.



Summary (cont)

- *NGST finding*: Compared to AERONET over ocean, the VIIRS 550-nm AOD retrievals have a smaller bias and a smaller standard deviation than the corresponding MODIS retrievals (Jackson and Vermote, March 2008 TIM):
 - Bias (MODIS) = -0.010, STD(MODIS) = 0.057
 - Bias (VIIRS) = -0.002, STD(VIIRS) = 0.046
 - Statistics from 78 points.
- VIIRS(NESDIS) \approx VIIRS(NGST), but likely not identical (e.g., LUTs used with MODIS data are likely to be different)
- More investigation is needed!